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# Proximality in Banach spaces <sup>☆</sup>

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## Abstract

In this paper, we study approximatively  $\tau$ -compact and  $\tau$ -strongly Chebyshev sets, where  $\tau$  is the norm or the weak topology. We show that the metric projection onto  $\tau$ -strongly Chebyshev sets are norm- $\tau$  continuous. We characterize approximatively  $\tau$ -compact and  $\tau$ -strongly Chebyshev hyperplanes and use them to characterize factor reflexive proximal subspaces in  $\tau$ -almost locally uniformly rotund spaces. We also prove some stability results on approximatively  $\tau$ -compact and  $\tau$ -strongly Chebyshev subspaces.

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## 1. Introduction

Let  $X$  be a real Banach space. For a closed set  $K$  in  $X$  and  $x \in X$ , we denote the distance function of  $K$  at  $x$  by  $d(x, K) = \inf\{\|x - k\| : k \in K\}$ . The metric projection of  $x$  onto  $K$  is  $P_K(x) = \{k \in K : \|x - k\| = d(x, K)\}$ . The set  $K$  is called proximal (respectively Chebyshev) if for every  $x \in X \setminus K$ ,  $P_K(x)$  is nonempty (respectively a singleton). It is known that a Banach space  $X$  is reflexive if and only if every closed convex subset of  $X$  is proximal in  $X$ .

The notion of approximative compactness has been introduced by Efimov and Stechkin [6] (see also [4]). Deutsch [5] extended this notion to define approximatively  $\tau$ -compact sets for a “regular mode of convergence  $\tau$ ” [5, Definition 2.3] where  $\tau$  includes the norm, weak or weak\* topology. However, there are many cases in which  $\tau$  does not arise from any topology.

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In this paper, unless otherwise mentioned, we denote by  $\tau$  either the norm or the weak topology on  $X$ . And we consider the following related notions of proximality. Recall that a sequence  $\{z_n\} \subseteq K$  is called minimizing for  $x \in X \setminus K$ , if  $\|x - z_n\| \rightarrow d(x, K)$ .

**Definition 1.1.** Let  $K$  be a  $\tau$ -closed subset of  $X$  and  $x_0 \in X \setminus K$ .

- (a) We say that  $K$  is approximately  $\tau$ -compact for  $x_0$  if every minimizing sequence  $\{z_n\} \subseteq K$  for  $x_0$  has a  $\tau$ -convergent subsequence.
  - (b) We say that  $K$  is  $\tau$ -strongly Chebyshev for  $x_0$  if every minimizing sequence  $\{z_n\} \subseteq K$  for  $x_0$  is  $\tau$ -convergent.
- If  $K$  is approximately  $\tau$ -compact (or  $\tau$ -strongly Chebyshev) for every  $x \in X \setminus K$ , we say  $K$  is approximately  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) in  $X$ .  
As usual, in case  $\tau$  is the norm topology, we omit it.

We note that approximate  $\tau$ -compactness implies proximality. It is also clear that if  $K$  is  $\tau$ -strongly Chebyshev for  $x_0$ , then  $P_K(x_0)$  is a singleton.

Any compact set or any finite-dimensional subspace of a Banach space is clearly approximately compact. Similarly, any weakly compact set or any reflexive subspace of a Banach space is clearly approximately weakly compact.

The notion of strongly proximal subspaces have been defined in [8]. We extend this to define the following:

**Definition 1.2.** For a closed set  $K \subseteq X$ ,  $x \in X$  and  $\delta > 0$ , let

$$P_K(x, \delta) = \{z \in K : \|z - x\| < d(x, K) + \delta\}.$$

A  $\tau$ -closed set  $K \subseteq X$  is  $\tau$ -strongly proximal for  $x \in X \setminus K$ , if  $K$  is proximal for  $x$  and for any  $\tau$ -neighbourhood  $V$  of 0 in  $X$ , there exists  $\delta > 0$  such that  $P_K(x, \delta) \subseteq P_K(x) + V$ . If this holds for every  $x \in X \setminus K$ , then  $K$  is said to be  $\tau$ -strongly proximal in  $X$ .

Clearly, when  $K$  is a subspace and  $\tau$  is the norm topology, we get back the definition of [8].

In Section 2, we study the relationship between approximately  $\tau$ -compact,  $\tau$ -strongly proximal and  $\tau$ -strongly Chebyshev sets and prove that the metric projection onto  $\tau$ -strongly Chebyshev sets are norm- $\tau$  continuous. We also characterize approximately  $\tau$ -compact and  $\tau$ -strongly Chebyshev hyperplanes as kernels of  $\tau$ -strongly support functionals (see Definition 2.9) and  $\tau$ -strongly exposing functionals, respectively. We show that if  $Y$  is a reflexive Chebyshev subspace of  $X$  such that  $P_Y^{-1}(0)$  is weakly closed, then  $P_Y$  is weak-weak continuous.

In Section 3, we consider proximality in  $\tau$ -almost locally uniformly rotund ( $\tau$ -ALUR) Banach spaces. These spaces were defined in [2] (see Definition 3.1). From the results of [1], it follows that a Banach space  $X$  is  $\tau$ -ALUR  $\Leftrightarrow$  every norm-attaining functional  $x^* \in X^*$  is a  $\tau$ -strongly exposing functional. We refer to [1,2] for various characterizations and properties of such spaces.

It is well known that if a finite-codimensional subspace  $Y$  of  $X$  is proximal in  $X$ , then  $Y^\perp \subseteq NA(X)$ , the set of all norm-attaining functionals in  $X^*$ . Conditions under which the converse holds have been the subject of many recent papers, e.g., [8,9,14]. We show that the converse holds in  $\tau$ -ALUR spaces and, in fact, implies that  $Y$  is  $\tau$ -strongly Chebyshev.

In Section 4, we consider some stability results on approximately  $\tau$ -compact and  $\tau$ -strongly Chebyshev subspaces.

The closed unit ball and the unit sphere of  $X$  will be denoted by  $B_X$  and  $S_X$ , respectively. We will denote by  $NA(X)$  the norm-attaining functionals in  $X^*$ . For a closed bounded convex set  $C$ ,  $\text{ext } C$  denotes the set of extreme points of  $C$ . By a subspace, we mean a closed linear subspace.

## 2. General results

Note that if  $K$  is  $\tau$ -closed, then  $K$  is  $\tau$ -strongly Chebyshev  $\Rightarrow K$  is approximately  $\tau$ -compact  $\Rightarrow K$  is proximal. And none of the implications can be reversed (see Example 2.1 and Theorem 2.8).

Indeed, if a minimizing sequence  $\{z_n\}$  for  $x$  has a  $\tau$ -convergent subsequence  $\{z_{n_k}\}$  with  $\tau$ -limit point  $z \in K$ , then, since the norm is  $\tau$ -lower semicontinuous,

$$d(x, K) \leq \|x - z\| \leq \liminf \|x - z_{n_k}\| = d(x, K).$$

Thus,  $z \in P_K(x)$ .

**Example 2.1.** Let  $X = \ell_\infty$ ,  $Y = c_0$ . Then  $Y$ , being an  $M$ -ideal in  $X$ , is proximal in  $X$ . For  $x_0 = (1, 1, 1, \dots) \in \ell_\infty$ , the sequence  $y_n = (1, 1, \dots, 1, 0, 0, \dots) \in Y$  is minimizing, but  $\{y_n\}$  has no  $\tau$ -convergent subsequence. Thus proximality does not imply approximative  $\tau$ -compactness.

As suggested by the name,  $\tau$ -strongly Chebyshev sets are precisely sets that are  $\tau$ -strongly proximal and Chebyshev. Indeed, we have

**Theorem 2.2.** Let  $K$  be a  $\tau$ -closed subset of Banach space  $X$  and  $x_0 \in X \setminus K$ . Then  $K$  is approximatively  $\tau$ -compact for  $x_0 \Leftrightarrow K$  is  $\tau$ -strongly proximal for  $x_0$  and  $P_K(x_0)$  is  $\tau$ -compact.

**Proof.** Notice that in  $\tau$ -topology, compactness and sequential compactness coincide. It follows that if  $K$  is approximatively  $\tau$ -compact for  $x_0$ , then  $P_K(x_0)$  is  $\tau$ -compact.

If  $K$  is not  $\tau$ -strongly proximal for  $x_0$ , then there exist a  $\tau$ -neighbourhood  $V$  of 0 and a minimizing sequence  $\{z_n\} \subseteq K$  with  $z_n \notin P_K(x_0) + V$  for all  $n \geq 1$ . Since  $K$  is approximatively  $\tau$ -compact for  $x_0$ , there is a subsequence  $\{z_{n_k}\}$  such that  $z_{n_k} \rightarrow z_0 \in K$  in  $\tau$ . Then  $z_0 \in P_K(x_0)$  and so,  $z_n \in z_0 + V \subseteq P_K(x_0) + V$  for some  $n \geq 1$ . A contradiction!

Conversely, suppose  $K$  is  $\tau$ -strongly proximal for  $x_0$  and  $P_K(x_0)$  is  $\tau$ -compact, but  $K$  is not approximatively  $\tau$ -compact for  $x_0$ . Then there is a minimizing sequence  $\{z_n\} \subseteq K$  such that no subsequence is  $\tau$ -convergent. It follows that for any  $z \in P_K(x_0)$ , there is a  $\tau$ -neighbourhood  $U_z$  of  $z$  and  $N_z \in \mathbb{N}$  such that for all  $n \geq N_z$ ,  $z_n \notin U_z$ . Since  $P_K(x_0)$  is  $\tau$ -compact, there is a  $\tau$ -neighbourhood  $V$  of 0 and  $N_0 \in \mathbb{N}$  such that for all  $n \geq N_0$ ,  $z_n \notin P_K(x_0) + V$ . Since  $K$  is  $\tau$ -strongly proximal for  $x_0$ , there exists  $\delta > 0$  such that  $P_K(x_0, \delta) \subseteq P_K(x_0) + V$ . Note that for any minimizing sequence  $\{z_n\} \subseteq K$ ,  $z_n \in P_K(x, \delta)$  eventually. This is a contradiction!  $\square$

**Theorem 2.3.** Let  $K$  be a  $\tau$ -closed subset of Banach space  $X$  and  $x_0 \in X \setminus K$ . Then the following are equivalent:

- (a)  $K$  is  $\tau$ -strongly Chebyshev for  $x_0$ .
- (b)  $K$  is  $\tau$ -strongly proximal for  $x_0$  and  $P_K(x_0)$  is a singleton.
- (c)  $K$  is approximatively  $\tau$ -compact for  $x_0$  and  $P_K(x_0)$  is a singleton.

**Proof.** By Theorem 2.2, it suffices to show (b)  $\Rightarrow$  (a).

Suppose  $K$  is  $\tau$ -strongly proximal for  $x_0$  and  $P_K(x_0) = \{z_0\}$ . Let  $V$  be a  $\tau$ -neighbourhood of 0. Since  $K$  is  $\tau$ -strongly proximal for  $x_0$ , there exists  $\delta > 0$  such that  $P_K(x_0, \delta) \subseteq z_0 + V$ . Thus, for any minimizing sequence  $\{z_n\} \subseteq K$ ,  $z_n \in P_K(x, \delta) \subseteq z_0 + V$  for sufficiently large  $n$ . Hence  $z_n \rightarrow z_0$  in  $\tau$ .  $\square$

**Example 2.4.** It is shown in [11, Proposition IV.1.14] that if  $Y$  is a proximal subspace of  $X$  such that for every  $x \in X$ ,  $P_Y(x)$  is weakly compact, then

$$\text{card}(\text{ext } B_{X/Y}) \leq \text{card}(\text{ext } B_X).$$

For  $X = c_0$  or  $L_1(\mu)$  with  $\mu$  nonatomic, since  $\text{ext } B_X = \emptyset$ , it follows that  $X$  has no Chebyshev or approximatively  $\tau$ -compact subspace of finite-codimension. Example 2.1 is a special case of this general phenomenon. On the other hand, it is known that any proximal subspace of finite-codimension in  $c_0$  is strongly proximal [8, Theorem 3.4]. Thus, strong proximality does not imply approximative  $\tau$ -compactness.

And, for  $X = C_{\mathbb{R}}([0, 1])$ , since  $\text{card}(\text{ext } B_X) = 2$ , it follows that  $X$  has no Chebyshev or approximatively  $\tau$ -compact subspace of finite-codimension  $\geq 2$  (also see [15, pp. 321–324]).

Regarding continuity of the metric projection, we have the following result.

**Theorem 2.5.** Let  $K$  be a  $\tau$ -closed subset of a Banach space  $X$  and  $x_0 \in X \setminus K$ .

- (a)  $K$  is  $\tau$ -strongly Chebyshev for  $x_0 \Rightarrow$  the metric projection  $P_K$  is single-valued and norm- $\tau$  continuous at  $x_0$ , i.e., if  $x_n \rightarrow x_0$  in norm and  $z_n \in P_K(x_n)$ , then  $z_n \rightarrow z_0 = P_K(x_0)$  in  $\tau$ .
- (b)  $K$  is approximatively  $\tau$ -compact for  $x_0 \Rightarrow$  the metric projection  $P_K$  is norm- $\tau$  upper semicontinuous (usc) at  $x_0$ , i.e., for any  $\tau$ -open set  $W$  with  $P_K(x_0) \subseteq W$ , there exists  $\varepsilon > 0$  such that  $P_K(x) \subseteq W$  whenever  $\|x - x_0\| < \varepsilon$ .

**Proof.** (a) If  $K$  is  $\tau$ -strongly Chebyshev for  $x_0$ , then  $P_K(x_0)$  is a singleton, say  $\{z_0\}$ . Observe that, if  $x_n \rightarrow x_0$  and  $z_n \in P_K(x_n)$ , then  $\{z_n\}$  is a minimizing sequence for  $x_0$ . Therefore,  $z_n \rightarrow z_0$  in  $\tau$ -topology.

(b) Assume  $K$  is approximatively  $\tau$ -compact for  $x_0$ . Then by Theorem 2.2,  $K$  is  $\tau$ -strongly proximal for  $x_0$  and  $P_K(x_0)$  is  $\tau$ -compact.

Let  $W$  be a  $\tau$ -open set such that  $P_K(x_0) \subseteq W$ . Since  $P_K(x_0)$  is  $\tau$ -compact, there exists a  $\tau$ -open neighbourhood  $V$  of 0 such that  $P_K(x_0) + V \subseteq W$ . Since  $K$  is  $\tau$ -strongly proximal for  $x_0$ , there exists  $\delta > 0$  such that  $P_K(x_0, \delta) \subseteq P_K(x_0) + V \subseteq W$ . Clearly, for  $\|x - x_0\| < \delta/2$ ,  $P_K(x) \subseteq P_K(x_0, \delta) \subseteq W$ .  $\square$

**Remark 2.6.** Let  $\tau$  be a topology on  $X$  such that  $B_X$  is  $\tau$ -closed. This corresponds to a “regular mode of convergence” that is “topological” [5]. Examples include, apart from the norm, weak and weak\* topologies, the strong and weak operator topologies on the space  $\mathcal{L}(X, Y)$ . If we define approximatively  $\tau$ -compact sets and  $\tau$ -strongly Chebyshev sets in terms of minimizing nets rather than sequences, the modified definition for  $\tau$ -strongly Chebyshev sets is easily seen to be equivalent to the original one. And all the above results still hold. If a “regular mode of convergence” is not “topological,” we do not know if Theorems 2.2 and 2.5(b) will still hold.

Similarly, if we call the sequential version, approximative  $\tau$ -sequential compactness, then a  $\tau$ -closed set  $K$  is approximatively  $\tau$ -sequentially compact for  $x_0^* \Rightarrow K$  is  $\tau$ -strongly proximal for  $x_0^*$  and  $P_K(x_0^*)$  is  $\tau$ -sequentially compact. We do not know if the converse hold in general. Still, a  $\tau$ -closed set  $K$  is approximatively  $\tau$ -sequentially compact for  $x_0^* \Rightarrow$  the metric projection  $P_K$  is norm- $\tau$  usc for  $x_0^*$ . This follows from [5, Theorem 2.7] and gives an alternative proof of Theorem 2.5(b).

When  $\tau$  is the norm topology, we have

**Proposition 2.7.** Let  $X$  be a Banach space,  $K$  be a closed subset of  $X$  and  $x_0 \in X \setminus K$ . Then  $K$  is strongly Chebyshev for  $x_0 \Leftrightarrow$  for every  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $\|\cdot\|$ -diam( $P_K(x_0, \delta)$ )  $< \varepsilon$ .

**Proof.** If  $K$  is strongly Chebyshev for  $x_0$ , then  $P_K(x_0) = \{z_0\}$ . Therefore every minimizing sequence converges to  $z_0$ . Now, if the given condition does not hold, there exists  $\varepsilon > 0$  and  $z_n \in P_K(x_0, 1/n)$  such that  $\|z_n - z_0\| \geq \varepsilon$ . Then  $\{z_n\}$  is a minimizing sequence that does not converge to  $z_0$ . Contradiction!

Conversely, if for every  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $\|\cdot\|$ -diam( $P_K(x_0, \delta)$ )  $< \varepsilon$ , then the Cantor Intersection Theorem shows that  $P_K(x_0)$  is a singleton  $\{z_0\}$  (say). Suppose  $\{z_n\} \subseteq K$  is a minimizing sequence for  $x_0$ . Let  $\varepsilon > 0$  and let  $\delta > 0$  be such that  $\|\cdot\|$ -diam( $P_K(x_0, \delta)$ )  $< \varepsilon$ . Then, for all sufficiently large  $n$ ,  $z_n \in P_K(x_0, \delta)$ . Therefore,  $\|z_n - z_0\| \leq \|\cdot\|$ -diam( $P_K(x_0, \delta)$ )  $< \varepsilon$ .  $\square$

Fan and Glicksberg [7] showed that in a strictly convex reflexive space  $X$  with the Kadec–Klee (KK) property (i.e.,  $x_n, x \in B_X$ ,  $\lim_n \|x_n\| = \|x\| = 1$  and  $w\text{-}\lim_n x_n = x \Rightarrow \lim_n \|x_n - x\| = 0$ ), the metric projection  $P_K$  is norm–norm continuous for all nonempty closed convex sets  $K \subseteq X$  and they have given various characterizations for such spaces. The following result characterizes reflexive spaces from proximality point of view. The proof follows from arguments in [5,7].

**Theorem 2.8.** Let  $X$  be a Banach space.

- (a) Every closed convex set is proximal in  $X \Leftrightarrow X$  is reflexive  $\Leftrightarrow$  every closed convex set is approximatively weakly compact in  $X$ .
- (b) Every closed convex set is weakly strongly Chebyshev in  $X \Leftrightarrow X$  is reflexive and strictly convex.
- (c) Every closed convex set is approximatively compact in  $X \Leftrightarrow X$  is reflexive and has the KK property.
- (d) Every closed convex set is strongly Chebyshev in  $X \Leftrightarrow X$  is reflexive, strictly convex and has the KK property.

A.L. Brown [3] has shown that there exists a separable strictly convex and reflexive real Banach space  $X$  and a closed subspace  $Y$  such that  $P_Y$  is not norm–norm continuous.

We now characterize approximatively  $\tau$ -compact and  $\tau$ -strongly Chebyshev hyperplanes.

### Definition 2.9.

- (a) Recall that  $x^* \in S_{X^*}$  is called a  $\tau$ -strongly exposing functional if  $x^* \in NA(X)$  and every  $\{x_n\} \subseteq B_X$  with  $\lim x^*(x_n) = 1$  is  $\tau$ -convergent.
- (b) Let us call  $x^* \in S_{X^*}$  a  $\tau$ -strongly support functional if  $x^* \in NA(X)$  and every  $\{x_n\} \subseteq B_X$  with  $\lim x^*(x_n) = 1$  has a  $\tau$ -convergent subsequence.

**Theorem 2.10.** *For a Banach space  $X$  and  $x^* \in S_{X^*}$ ,  $\ker x^*$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) in  $X \Leftrightarrow x^*$  is a  $\tau$ -strongly support (respectively  $\tau$ -strongly exposing) functional.*

**Proof.** Suppose  $Y = \ker x^*$  is approximatively  $\tau$ -compact. Then  $Y$  is proximal, and hence,  $x^* \in NA(X)$ . Thus, there exists  $x_0 \in S_X$  such that  $x^*(x_0) = 1$ . Let  $\{x_n\} \subseteq S_X$  such that  $x^*(x_n) \rightarrow 1$ . Let  $y_n = x^*(x_n)x_0 - x_n \in Y$ . Then

$$1 = x^*(x_0) = x^*(x_0 - y_n) \leq \|x_0 - y_n\| = \|x_0 - x^*(x_n)x_0 + x_n\| \leq (1 - x^*(x_n)) + 1 \rightarrow 1.$$

Thus,  $\{y_n\}$  is a minimizing sequence for  $x_0$ , and hence has a  $\tau$ -convergent subsequence. It follows that so does  $\{x_n\}$ .

Conversely, suppose  $x^*$  is a  $\tau$ -strongly support functional. Then,  $x^* \in NA(X)$ , and hence,  $Y = \ker x^*$  is a proximal subspace. Indeed, let  $x_0 \in S_X$  be such that  $x^*(x_0) = 1$ . Then for any  $x \in X$ ,  $d(x, Y) = |x^*(x)|$  and  $x - x^*(x)x_0 \in Y$ .

Let  $\{z_n\} \subseteq Y$  be a minimizing sequence for  $x \in X \setminus Y$ . It follows that  $\|x - z_n\| \rightarrow d(x, Y) = |x^*(x)| \neq 0$  and  $x^*(x - z_n) = x^*(x)$ . Let  $w_n = \eta_x(x - z_n)/\|x - z_n\|$ , where  $\eta_x$  is the sign of  $x^*(x)$ , i.e.,  $\eta_x x^*(x) = |x^*(x)|$ . Then  $\|w_n\| = 1$  and the sequence  $x^*(w_n) \rightarrow 1$ . Since  $x^*$  is a  $\tau$ -strongly support functional, the sequence  $\{w_n\}$  has a  $\tau$ -convergent subsequence. And hence,  $\{z_n\}$  has a  $\tau$ -convergent subsequence too.

The other case is similar.  $\square$

The following is the analogue of Theorem 2.8 without reflexivity.

**Theorem 2.11.** *For a Banach space  $X$ , the following are equivalent:*

- (a) Every  $x^* \in NA(X)$  is a  $\tau$ -strongly support (respectively  $\tau$ -strongly exposing) functional.
- (b) Every proximal convex set  $K \subseteq X$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev).
- (c) Every proximal subspace  $Y \subseteq X$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev).
- (d) Every proximal hyperplane is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev).

**Proof.** (a)  $\Rightarrow$  (b). Suppose every  $x^* \in NA(X)$  is a  $\tau$ -strongly support functional. Let  $K$  be a proximal convex set in  $X$  and  $x_0 \in X \setminus K$ . Without loss of generality, we may assume  $x_0 = 0$  and  $d(0, K) = 1$ . Then there exists  $z_0 \in K$  such that  $\|z_0\| = 1 = d(0, K)$ . Since  $d(0, K) = 1$ ,  $K$  and the open unit ball of  $X$  are disjoint convex sets and therefore, there exists  $x^* \in S_{X^*}$  such that  $\inf x^*(K) \geq 1$ . It follows that  $x^*(z_0) = 1$ , that is,  $x^* \in NA(X)$ . Thus,  $x^*$  is a  $\tau$ -strongly support functional. Let  $\{z_n\} \subseteq K$  be a minimizing sequence for 0. Then  $1 \leq x^*(z_n) \leq \|z_n\| \rightarrow 1$ . Therefore,  $\{z_n/\|z_n\|\}$ , and hence  $\{z_n\}$ , has a  $\tau$ -convergent subsequence.

(b)  $\Rightarrow$  (c)  $\Rightarrow$  (d) is trivial, and (d)  $\Rightarrow$  (a) follows from Theorem 2.10.  $\square$

**Remark 2.12.** Observe that a subspace  $Y$  need not be strongly Chebyshev even if  $Y$  is a Chebyshev subspace of  $X$  and  $P_Y$  is norm–norm continuous. For example, let  $x^* \in S_{X^*}$  be an exposing functional that is not strongly exposing. Then  $x^*$  attains its norm at a *unique* point  $x_0 \in S_X$ . Thus,  $Y = \ker x^*$  is Chebyshev with  $P_Y(x) = x - x^*(x)x_0$  for any  $x \in X$ , so that  $P_Y$  is continuous. But since  $x^*$  is not strongly exposing, by Theorem 2.10,  $Y$  is not strongly Chebyshev.

We do not know if Theorem 2.11 has an analogue for a “regular mode of convergence,” “topological” or otherwise.

**Definition 2.13.** For  $x^* \in X^* \setminus \{0\}$  and  $x \in X$ , let us define the following maps:

$$D(x) = \{x^* \in S_{X^*}: x^*(x) = \|x\|\},$$

$$D^{-1}(x^*) = \{x \in S_X: x^*(x) = \|x^*\|\}.$$

$D$  is called the duality map and  $D^{-1}$  is called the pre-duality map. Naturally,  $D^{-1}$  is defined only on  $NA(X)$ .

**Theorem 2.14.** Let  $X$  be a Banach space and  $x_0^* \in S_{X^*}$ .

- (a)  $x_0^*$  is a  $\tau$ -strongly exposing functional  $\Rightarrow$  the pre-duality map  $D^{-1}$  is single-valued and norm- $\tau$  continuous at  $x_0^*$ , i.e., if  $x_n^* \rightarrow x_0^*$  in norm and  $x_n \in D^{-1}(x_n^*)$ , then  $x_n \rightarrow x_0 = D^{-1}(x_0^*)$  in  $\tau$ .
- (b)  $x_0^*$  is a  $\tau$ -strongly support functional  $\Rightarrow$  the pre-duality map  $D^{-1}$  is norm- $\tau$  usc at  $x_0^*$ , i.e., for any  $\tau$ -open set  $W$  with  $D^{-1}(x_0^*) \subseteq W$ , there exists  $\varepsilon > 0$  such that  $D^{-1}(x^*) \subseteq W$  whenever  $\|x^* - x_0^*\| < \varepsilon$ .

**Proof.** Observe that if  $x_0^* \in NA(X)$  and  $Y = \ker x_0^*$ , then  $Y$  is proximal with

$$x - P_Y(x) = x_0^*(x)D^{-1}(x_0^*) \quad \text{for any } x \in X.$$

Therefore,  $P_Y(x)$  is a singleton  $\Leftrightarrow D^{-1}(x_0^*)$  is a singleton. Thus (b)  $\Rightarrow$  (a).

(b) Let  $x_0^*$  be a  $\tau$ -strongly support functional. Then  $\ker x_0^* = Y$  is approximatively  $\tau$ -compact in  $X$ . Suppose that  $D^{-1}$  is not norm- $\tau$  usc at  $x_0^*$ . Then for some  $\tau$ -open set  $W$  in  $X$  with  $D^{-1}(x_0^*) \subseteq W$ , there exists  $\{x_n^*\} \subseteq S_{X^*}$  such that  $\|x_n^* - x_0^*\| \rightarrow 0$  and  $D^{-1}(x_n^*) \not\subseteq W$  for all  $n$ .

Let  $z_n \in D^{-1}(x_n^*) \setminus W$ . Fix  $x_0 \in D^{-1}(x_0^*)$ . Let  $x_n = x_0^*(z_n)x_0 - z_n$ . Then  $\{x_n\} \subseteq Y$  is a minimizing sequence for  $x_0$ . Since  $Y$  approximatively  $\tau$ -compact,  $\{x_n\}$  has a  $\tau$ -convergent subsequence. So  $\{z_n\}$  has a  $\tau$ -convergent subsequence converging to some  $z_0$ . Thus  $z_0 \in D^{-1}(x_0^*) \subseteq W$ , but  $z_n \in X \setminus W$  and  $X \setminus W$  is  $\tau$ -closed, a contradiction.  $\square$

**Remark 2.15.** It is well known that strongly exposing functionals are precisely the points of  $S_{X^*}$  at which the dual norm is Fréchet differentiable. Is there an analogous characterization of strongly support functionals?

Notice that one of the natural candidates, namely, the points of  $S_{X^*}$  at which the dual norm is strongly subdifferentiable (SSD) is actually weaker. To see this, recall that the dual norm is SSD at every  $x^* \in NA(X) \Leftrightarrow$  every proximal hyperplane is strongly proximal [8, Proposition 2.6] and that this condition holds in  $c_0$ . Compare this with Theorem 2.11 in the light of Example 2.4.

We conclude this section with a result about when  $P_Y$  is weak-weak continuous. In [13], the authors prove that if  $Y$  is a finite-dimensional Chebyshev subspace of  $X$  such that  $P_Y^{-1}(0)$  is weakly closed, then  $P_Y$  is weak-weak continuous. The following theorem improves this result.

**Theorem 2.16.** If  $Y$  is a reflexive Chebyshev subspace of  $X$  such that  $P_Y^{-1}(0)$  is weakly closed, then  $P_Y$  is weak-weak continuous.

**Proof.** For any  $x \in X$ , we have  $\|x - P_Y(x) - 0\| = d(x, Y) = d(x - P_Y(x), Y)$ , so  $x - P_Y(x) \in P_Y^{-1}(0)$ . Now if  $\{x_\alpha\} \subseteq X$  and  $x_\alpha \rightarrow x$  weakly, then  $\{x_\alpha\}$  is norm-bounded, i.e.,  $\|x_\alpha\| \leq C$  for some  $C > 0$ . Since  $\|x_\alpha - P_Y(x_\alpha)\| = d(x_\alpha, Y) \leq \|x_\alpha\| \leq C$ ,  $\|P_Y(x_\alpha)\| \leq 2C$ . Since  $Y$  is reflexive, we have a subnet  $P_Y(x_\gamma)$  that converges weakly to some  $z \in Y$ . Then  $x_\gamma - P_Y(x_\gamma) \rightarrow x - z$  weakly. Since  $P_Y^{-1}(0)$  is weakly closed and  $x_\alpha - P_Y(x_\alpha) \in P_Y^{-1}(0)$ , we have  $x - z \in P_Y^{-1}(0)$ , so that  $\|x - z\| = d(x - z, Y) = d(x, Y)$ . Therefore,  $z \in P_Y(x)$ . Since  $Y$  is a Chebyshev subspace of  $X$ ,  $P_Y(x)$  is a singleton. Thus, all weakly convergent subnets of  $P_Y(x_\alpha)$  weakly converge to  $z$ . It follows that  $P_Y(x_\alpha)$  weakly converges to  $P_Y(x)$ .  $\square$

### 3. Proximality in $\tau$ -ALUR Banach spaces

**Definition 3.1.** (See [2].) Recall that a Banach space  $X$  is  $\tau$ -almost locally uniformly rotund ( $\tau$ -ALUR) if for any  $x \in S_X$ ,  $\{x_n\} \subseteq B_X$  and  $\{x_m^*\} \subseteq B_{X^*}$ , the condition

$$\lim_m \lim_n x_m^* \left( \frac{x_n + x}{2} \right) = 1$$

implies  $\tau\text{-}\lim_n x_n = x$ .

In the literature, the acronym ALUR is also used for average locally uniformly rotund which is known to be equivalent to  $X$  is strictly convex and has the KK property. We will use it in the above sense only. Theorem 3.4 below discusses the relation between these two notions.

In this section, we consider proximality in  $\tau$ -ALUR spaces.

From the results of [1, Proposition 4.4 and Corollary 4.6], it follows that a Banach space  $X$  is  $\tau$ -ALUR  $\Leftrightarrow$  every  $x^* \in NA(X)$  is a  $\tau$ -strongly exposing functional. Thus, Theorem 2.11 gives a new characterization of  $\tau$ -ALUR spaces.

As noted earlier, if a finite-codimensional subspace  $Y$  of  $X$  is proximal in  $X$ , then  $Y^\perp \subseteq NA(X)$ . We now show that the converse holds in  $\tau$ -ALUR spaces. It is not difficult to show that the condition  $Y^\perp \subseteq NA(X)$  forces  $X/Y$  to be reflexive [14, Lemma 2.2]. Thus, our result improves [9, Corollary 3.4].

**Theorem 3.2.** *Let  $X$  be a  $\tau$ -ALUR Banach space and  $Y$  be a subspace such that  $X/Y$  is reflexive. Then the following are equivalent:*

- (a)  $Y$  is proximal in  $X$ ;
- (b)  $Y$  is  $\tau$ -strongly proximal in  $X$ ;
- (c)  $Y$  is  $\tau$ -strongly Chebyshev in  $X$ ;
- (d)  $Y^\perp \subseteq NA(X)$ .

**Proof.** By Theorem 2.11, (a)  $\Leftrightarrow$  (b)  $\Leftrightarrow$  (c).

(a)  $\Rightarrow$  (d). Since  $X/Y$  is reflexive, every  $x^* \in Y^\perp \simeq (X/Y)^*$  is norm attaining on  $X/Y$ . Since  $Y$  is proximal in  $X$ ,  $x^* \in NA(X)$ . Thus  $Y^\perp \subseteq NA(X)$ .

(d)  $\Rightarrow$  (a). Let  $Y^\perp \subseteq NA(X)$ . Since  $X$  is  $\tau$ -ALUR, every  $x^* \in Y^\perp \simeq (X/Y)^*$  is in particular an exposing functional. Since  $X/Y$  is reflexive and  $X/Y = (Y^\perp)^*$ , it is strictly convex. Let  $Q : X \rightarrow X/Y$  be the quotient map. If  $t \in S_{X/Y}$ , there is  $x^* \in Y^\perp$  with  $\|x^*\| = x^*(t) = 1$  and since  $X/Y$  is strictly convex,  $\{u \in S_{X/Y} : x^*(u) = \|u\| = 1\} = \{t\}$ . Now since  $x^* \in Y^\perp \subseteq NA(X)$ , there is  $x \in X$  with  $\|x\| = x^*(x) = 1$ , and thus  $Q(x) = t$ . Hence  $Q(B_X) = B_{X/Y}$  and  $Y$  is proximal in  $X$ .  $\square$

**Remark 3.3.** The same proof shows that if  $Y$  is a subspace of  $X$  such that any  $x^* \in Y^\perp$  is a  $\tau$ -strongly exposing functional, then  $Y$  is  $\tau$ -strongly Chebyshev.

Let  $Y$  be a finite-codimensional proximal subspace of  $X$ . Suppose  $Y^\perp$  has a basis consisting of  $\tau$ -strongly exposing functionals. Does it imply that  $Y$  is  $\tau$ -strongly Chebyshev in  $X$ ?

We now compare ALUR with some related convexity notions.

**Theorem 3.4.** *For a Banach space  $X$ ,  $X$  is LUR  $\Rightarrow X$  is ALUR  $\Rightarrow X$  is strictly convex and has the KK property. And neither converse implication holds.*

**Proof.** The fact that LUR  $\Rightarrow$  ALUR, but not conversely, has been noted in [2]. Indeed, LUR  $\Rightarrow$  ALUR is clear from the definitions. And as noted in [2], if  $X$  is an infinite-dimensional Banach space with separable dual, then there exists an equivalent norm on  $X$  such that  $X$  is ALUR but fails to be LUR.

Assume now that  $X$  is ALUR. Then  $X$  is clearly strictly convex. Let  $\{x_n\}, x_0 \subseteq S_X$  be such that  $x_n \rightarrow x_0$  weakly. Let  $x^* \in S_{X^*}$  strongly expose  $x_0$ . Then  $x^*(x_n) \rightarrow x^*(x_0) = 1$  so that  $x_n \rightarrow x_0$  in norm. Hence  $X$  has the KK property.

Let  $X = c_0$  and let  $T : \ell_2 \rightarrow X$  be a weakly compact operator with dense range. Define

$$\| \|x^*\| \| = \|x^*\|_1 + \|T^*x^*\|_2.$$

By [11, Proposition III.2.11], this defines an equivalent dual norm on  $X^*$  such that  $c_0$  is an  $M$ -ideal in  $\ell_\infty$ . This new norm on  $X^*$  is strictly convex and has the KK property. Now we show that there are points in  $NA(X^*)$  that are not even  $w^*$ -exposing for  $B_{X^{***}}$ .

Indeed  $X$ , with the  $\| \cdot \|$ -norm, is an  $M$ -ideal in  $X^{**}$ . So  $X^{***}$  can be decomposed as  $X^{***} = X^* \oplus_1 X^\perp$ . By Bishop–Phelps Theorem, there is  $x^{***} \in S_{X^{***}} \setminus (X^* \cup X^\perp)$  such that  $x^{***} \in NA(X^{**})$ . Then there is  $x^{**} \in X^{**}$  such that  $x^{***}(x^{**}) = \|x^{**}\| = 1$ . Now, we can write  $x^{***} = x^* + z^{***}$  with  $x^* \neq 0 \in X^*$ ,  $z^{***} \neq 0 \in X^\perp$  and  $1 = \|x^{***}\| = \|x^*\| + \|z^{***}\|$ . It follows that  $x^{**}(x^*) = \|x^*\|$ , so that  $x^{**} \in NA(X^*)$ , but  $x^{**}$  does not  $w^*$ -expose  $x^*/\|x^*\|$  in  $B_{X^{***}}$ .  $\square$

**Remark 3.5.** Note that the last part of the proof shows that if  $X$  is not reflexive and an  $M$ -ideal in  $X^{**}$ , then  $X^*$  always fails to be  $wALUR$ .

We conclude this section with the following result.

**Theorem 3.6.** *An ALUR Banach space  $X$  is reflexive  $\Leftrightarrow$  the intersection of any two proximal hyperplanes is proximal.*

**Proof.** If  $X$  is reflexive, then all subspaces are proximal.

Conversely, let  $X$  be ALUR and suppose the intersection of any two proximal hyperplanes is proximal. Let  $x^*, y^* \in NA(X)$ . Then  $\ker x^*$  and  $\ker y^*$  are proximal hyperplanes in  $X$ . If  $Y = \ker x^* \cap \ker y^*$  is proximal in  $X$ , then  $Y^\perp \subseteq NA(X)$ , and therefore,  $\alpha x^* + \beta y^* \in NA(X)$  for all  $\alpha, \beta \in \mathbb{R}$ . This implies  $NA(X)$  is a linear subspace of  $X^*$ . Since  $X$  is ALUR and since the set of strongly exposing functionals form a  $G_\delta$  set,  $NA(X)$  is a dense  $G_\delta$  in  $X^*$ . Thus, by the Baire Category Theorem, for every  $x^* \in X^*$ , we have  $(x^* + NA(X)) \cap NA(X) \neq \emptyset$ . Hence  $NA(X) - NA(X) = X^*$ . Since  $NA(X)$  is a linear subspace of  $X^*$ ,  $NA(X) = X^*$ , which, by James' theorem, implies that  $X$  is reflexive.  $\square$

#### 4. Stability results

In this section, we prove some stability results on approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) subspaces.

**Theorem 4.1.** *Let  $\{X_i : 1 \leq i \leq m\}$  be a family of Banach spaces and  $Y_i$  be a subspace of  $X_i$ , respectively, for  $1 \leq i \leq m$ . Consider  $X = \bigoplus_{\ell_p} X_i$  and  $Y = \bigoplus_{\ell_p} Y_i$ ,  $1 \leq p < \infty$ . Then  $Y$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) in  $X \Leftrightarrow Y_i$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) in  $X_i$  for all  $1 \leq i \leq m$ .*

**Proof.** We will prove the result for strongly Chebyshev subspaces. It will be clear that the remaining cases are similar.

Suppose  $Y$  is strongly Chebyshev in  $X$ . Fix  $1 \leq i \leq m$ . Let  $x_i \in X_i$  such that  $d(x_i, Y_i) = 1$ . Let  $x = (0, \dots, 0, x_i, 0, \dots, 0)$ . Then for any  $y \in Y$ ,  $\|x - y\|_p^p = \sum_{j \neq i} \|y_j\|^p + \|x_i - y_i\|^p \geq \|x_i - y_i\|^p$ . It follows that  $d(x, Y) = 1$  and the nearest point  $y_0$  must be of the form  $y_0 = (0, \dots, 0, y_i, 0, \dots, 0)$  with  $y_i$  nearest to  $x_i$  in  $Y_i$ . Thus,  $Y_i$  is proximal in  $X_i$ .

To prove that  $Y_i$  is strongly Chebyshev in  $X_i$ , let  $\{y_{n,i}\}$  be a minimizing sequence for  $x_i$ . Let  $y_n = (0, \dots, 0, y_{n,i}, 0, \dots, 0)$ . Then  $\{y_n\}$  is a minimizing sequence for  $x$ . So  $\{y_n\}$  converges in  $Y$  and this implies that  $\{y_{n,i}\}$  converges in  $Y_i$  proving that  $Y_i$  is strongly Chebyshev in  $X_i$  for  $1 \leq i \leq m$ .

Conversely, suppose for all  $1 \leq i \leq n$ ,  $Y_i$  is strongly Chebyshev in  $X_i$ . First we prove that  $Y$  is proximal in  $X$ . Let  $x = (x_i)_{1 \leq i \leq n} \in X$ . For every  $1 \leq i \leq m$ , there exists  $y_i \in Y_i$  such that  $\|x_i - y_i\| = d(x_i, Y_i)$ . Let  $y = (y_i)_{1 \leq i \leq n} \in Y$ . Then for any  $z = (z_i)_{1 \leq i \leq n} \in Y$ ,  $\|x - z\|_p^p = \sum_{i=1}^n \|x_i - z_i\|^p \geq \sum_{i=1}^n \|x_i - y_i\|^p = \|x - y\|_p^p$ . Thus,  $y$  is nearest to  $x$ .

Now we claim that  $Y$  is strongly Chebyshev. Let  $x = (x_i)_{1 \leq i \leq m} \in X$  such that  $d(x, Y) = 1$  and  $\{y_n = \{y_{n,i}\}\}$  be a minimizing sequence for  $x$ . Clearly  $\{y_{n,i}\}$  is minimizing sequence for  $Y_i$  for  $1 \leq i \leq m$ . Hence it converges in  $Y_i$  for  $1 \leq i \leq m$ . Now it is easy to see that  $\{y_n\}$  is a converging minimizing sequence for  $x$ .  $\square$



**Corollary 4.2.** Let  $\Lambda$  be an index set. For all  $\lambda \in \Lambda$ , let  $Y_\lambda$  be a subspace of a Banach space  $X_\lambda$ . For  $1 \leq p < \infty$ , let  $X = \bigoplus_{\ell_p} X_\lambda$  and  $Y = \bigoplus_{\ell_p} Y_\lambda$ .

- (a)  $Y$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) in  $X \Rightarrow Y_\lambda$  is approximatively  $\tau$ -compact (respectively  $\tau$ -strongly Chebyshev) in  $X_\lambda$  for all  $\lambda \in \Lambda$ .
- (b)  $Y$  is proximal in  $X \Leftrightarrow Y_\lambda$  is proximal in  $X_\lambda$  for all  $\lambda \in \Lambda$ .
- (c)  $Y$  is Chebyshev in  $X \Leftrightarrow Y_\lambda$  is Chebyshev in  $X_\lambda$  for all  $\lambda \in \Lambda$ .

**Proof.** The proof of (a) and the “necessity” part of (b) and (c) is essentially contained in the proof of Theorem 4.1.

For “sufficiency” in both (b) and (c), let  $x = (x_\lambda) \in X$ . For every  $\lambda \in \Lambda$ , there exists  $y_\lambda \in Y_\lambda$  such that  $\|x_\lambda - y_\lambda\| = d(x_\lambda, Y_\lambda)$ . Let  $y = (y_\lambda)$ . It actually suffices to note that  $y \in Y$ . This follows from the simple observation that  $\|x_\lambda - y_\lambda\| = d(x_\lambda, Y_\lambda) \leq \|x_\lambda\|$  and  $x = (x_\lambda) \in X$ .  $\square$

**Remark 4.3.** The above theorem and corollary do not hold for  $p = \infty$ . Indeed if  $X_i = \mathbb{R}$ ,  $i = 1, 2, 3$ , and  $Y_1 = Y_2 = \mathbb{R}$ ,  $Y_3 = \{0\}$ . Let  $X$  be the  $\ell_\infty$  sum of  $X_i$ ,  $i = 1, 2, 3$ , and  $Y$  be the  $\ell_\infty$  sum of  $Y_i$ . Then  $Y_i$  is strongly Chebyshev in  $X_i$  for  $i = 1, 2, 3$ , but  $Y$  is not strongly Chebyshev as it is not Chebyshev subspace in  $X$ .

**Remark 4.4.** Let  $X$  be a Banach space and let  $\mu$  be a Lebesgue measure on  $[0, 1]$ . In [10,12], it is proved that  $f$  is a strongly exposed point of the unit ball of Lebesgue–Bochner function space  $L^p(\mu, X)$ ,  $1 < p < \infty$ , if and only if  $f$  is a unit vector and  $f(t)/\|f(t)\|$  is strongly exposed point of the unit ball of  $X$  for almost all  $t$  in the support of  $f$ . It follows that  $X$  is ALUR if and only if  $L^p(\mu, X)$  is ALUR. And  $X$  admits strongly Chebyshev hyperplanes if and only if  $L^p(\mu, X)$  does.

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